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## THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No ..... 08/897,217  
3 Filing Date ..... 7/14/1997  
3 Inventorship ..... Dean  
Group Art Unit ..... 2151  
4 Examiner ..... Bullock, Jr. L.  
5 Applicant ..... Microsoft Corporation  
Attorney's Docket No. ..... MS1-796US

6 Title: Interprocess Communication Mechanism For Heterogeneous Computer Process

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

7 To: The Commissioner of Patents & Trademarks  
Washington, D.C. 20231  
9 From: David A. Morasch (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
10 421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

11 The following enumerated items accompany this transmittal letter and are being submitted for the  
matter identified in the above caption.

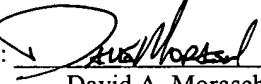
12 1. Transmittal letter including Certificate of Mailing  
2. Power of Attorney and Revocation of Previous Power of Attorney  
3. Statement Under 37 C.F.R. 3.73(b)  
4. Notice of Appeal  
5. Petition for Extension of Time  
6. Fee Transmittal  
7. Check in the Amount of \$1200.00  
8. Return Post Card

17 Large Entity Status [x] Small Entity Status [ ]

18 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee  
should be charged to the Deposit Account indicated below.*

19 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit  
Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any  
processing fees, including any necessary extension fees under 37 CFR 1.17.

21 Date: April 10, 2001

By:   
David A. Morasch  
Reg. No. 42,905

CERTIFICATE OF MAILING

23 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal  
Service as first class mail in an envelope addressed to The Commissioner of Patents & Trademarks,  
Washington, D.C. 20231, on the below-indicated date

25 Date: 4/10/01

By:   
Dana L. Calhoun

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1200<sup>00</sup>)

## Complaint if Known

Application Number	08/897,217
Filing Date	7/14/97
First Named Inventor	Dean
Examiner Name	Bullock
Group Art Unit	2151
Attorney Docket No.	MSI-796US

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0769**  
Deposit Account Name **Lee & Hayes PLLC**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent	- 3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(d)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1200<sup>00</sup>)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	<b>David A. Morasch</b>	Registration No. (Attorney/Agent)	<b>42,905</b>
Signature		Telephone	<b>(509)324-9256</b>
Date	<b>April 10, 2001</b>		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: DeanApplication No./Patent No.: 08/897,217 Filed/Issue Date: 7/13/97Entitled: Interprocess Communication Mechanism For Heterogeneous Computer Process  
Microsoft Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. [x] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Dawson To: VXTREME, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 8702, Frame 0366, or for which a copy thereof is attached.

2. From: VXTREME, Inc. To: Microsoft Corporation  
The document was recorded in the United States Patent and Trademark Office at Reel 9550, Frame 0128, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/30/2001

Date

Katie E Sako

Typed or printed name

Katie E Sako

Signature

Assistant Secretary

Title

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